

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Raymond E. Ideker et al.

Application Serial No.: TBA

Filed: Concurrently Herewith

For: **METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS TO  
INHIBIT VENTRICULAR FIBRILLATION DURING CARDIOPULMONARY  
RESUSCITATION**

Date: December 2, 2003

MAIL STOP PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

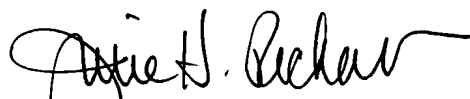
Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the waiver by the U.S. Patent and Trademark Office of requirements under 37 C.F.R. § 1.98(a)(2)(i) for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003. A copy of Item No. 148, U.S. Application No. 10/238,343 is provided herewith.

It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP.

No fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted,



Julie H. Richardson

Registration No. 40,142

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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to: Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

  
Rosa Lee Brinson

<b>FORM PTO-1449</b> U.S. Department of Commerce Patent and Trademark Office  <b>LIST OF DOCUMENTS CITED BY APPLICANT</b>  (Use several sheets if necessary)				Attorney Docket Number <b>5656-34</b>		Serial No. <b>TBA</b>	
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				Filing Date <b>Concurrently herewith</b>		Group	
U. S. PATENT DOCUMENTS							
Examiner Initial	1.	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	1.	2,071,215	02/1937	Petersen			
	2.	2,826,193	11/1965	Rentsch, Jr.			
	3.	3,219,031	11/23/65	Rentsch, Jr.			
	4.	3,455,298	07/15/69	Anstadt			
	5.	3,509,899	05/1970	Hewson			
	6.	3,613,672	10/19/72	Schiff	128	24.5	
	7.	3,825,015	07/23/74	Berkovits	128	404	
	8.	3,896,797	07/1975	Bucur			
	9.	3,995,623	12/07/76	Blake et al.	128	2.06 E	
	10.	4,048,990	09/20/77	Goetz	128	64	
	11.	4,192,293	03/11/80	Asrican	128	1D	
	12.	4,355,646	10/26/82	Kallok et al.	128	786	
	13.	4,365,639	12/28/82	Goldreyer	128	786	
	14.	4,397,306	08/1983	Weisfeldt et al.			
	15.	4,424,806	01/1984	Newman et al.			
	16.	4,444,195	04/24/84	Gold	128	642	
	17.	4,499,907	02/19/85	Kallok et al.	128	786	
	18.	4,536,893	08/27/85	Parravicini	623	3	
	19.	4,559,946	12/24/85	Mower	128	419D	
	20.	4,567,901	02/04/86	Harris	128	786	
	21.	4,637,397	20 JAN 87	Jones et al.	128	419 D	
	22.	4,643,201	02/17/87	Stokes	128	786	
	23.	4,690,134	09/01/87	Snyders	128	64	
	24.	4,693,253	09/15/87	Adams	128	419D	
	25.	4,708,145	11/24/87	Tacker, Jr. et al.	128	419D	

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	26.	4,731,076	03/15/88	Noon et al.	623	3	
	27.	4,800,883	31/01/89	Winstrom	128	419 D	
	28.	4,850,357	25/07/89	Bach, Jr.	128	419 D	
	29.	4,901,725	02/20/90	Nappholz et al.	128	419	
	30.	4,928,674	05/1990	Halperin et al.			
	31.	4,928,688	05/29/90	Mower	128	419	
	32.	5,107,834	04/28/92	Ideker et al.	128	419 D	
	33.	5,165,403	11/24/92	Mehra	128	419	
	34.	5,169,381	12/08/92	Snyders	600	16	
	35.	5,184,616	09/02/93	Weiss	128	419	
	36.	5,201,808	04/13/93	Steinhaus et al.	128	419	
	37.	5,209,229	05/11/93	Gilli	128	419	
	38.	5,224,476	07/06/93	Ideker et al.	128	419D	
	39.	5,230,337	07/1993	Dahl et al.	607	5	
	40.	5,235,977	08/17/93	Hirschberg et al.	607	5	
	41.	5,235,978	08/17/93	Hirschberg et al.	607	5	
	42.	5,251,624	10/12/93	Bocek et al.	607	6	
	43.	5,256,132	10/26/93	Snyders	600	16	
	44.	5,267,559	12/07/93	Jin et al.	128	419 D	
	45.	5,269,298	12/14/93	Adams et al.	128	419 D	
	46.	5,269,319	12/14/93	Schulte et al.	128	786	
	47.	5,282,836	02/01/94	Kreyenhagen et al.	607	4	
	48.	5,292,338	03/08/94	Bardy	607	5	
	49.	5,295,481	03/22/94	Geehan	601	43	
	50.	5,303,702	19/04/94	Bonnet Jean-Luc et al.	607	20	
	51.	5,304,139	04/19/94	Adams et al.	607	122	
	52.	5,304,218	04/19/94	Alferness	607	122	
	53.	5,312,444	05/17/94	Bocek et al.	607	5	
	54.	5,313,953	05/1994	Yomtov et al.	600	508	

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	55.	5,314,430	05/24/94	Bardy	607	5	
	56.	5,324,309	06/28/94	Kallok	607	5	
	57.	5,331,966	07/26/94	Bennett et al.	128	696	
	58.	5,332,400	07/26/94	Alferness	607	5	
	59.	5,344,430	09/06/94	Berg et al.	607	8	
	60.	5,348,021	09/20/94	Adams et al.	128	708	
	61.	5,350,402	09/27/94	Infinger et al.	607	5	
	62.	5,366,485	11/22/94	Kroll et al.	607	5	
	63.	5,366,486	11/22/94	Zipes et al.	607	5	
	64.	5,385,528	01/31/95	Wilk	600	18	
	65.	5,387,233	02/07/95	Alferness et al.	607	126	
	66.	5,395,373	03/07/95	Ayers	607	8	
	67.	5,403,351	04/04/95	Saksena	607	4	
	68.	5,403,354	04/04/95	Adams et al.	607	5	
	69.	5,405,375	04/11/95	Ayers et al.	607	122	
	70.	5,411,527	05/02/95	Alt	607	5	
	71.	5,423,772	06/13/95	Lurie et al.	607	282	
	72.	5,431,681	07/11/95	Helland	607	4	
	73.	5,431,682	11/07/95	Sven-Erik Hedberg	607	5	
	74.	5,431,683	07/11/95	Bowald et al.	607	5	
	75.	5,433,729	07/18/95	Adams et al.	607	5	
	76.	5,433,730	07/18/95	Alt	607	5	
	77.	5,441,519	08/15/95	Sears	607	5	
	78.	5,443,491	08/22/95	Snichelotto	607	122	
	79.	5,447,519	09/05/95	Peterson	607	5	
	80.	5,456,706	10/10/95	Pless et al.	607	122	
	81.	5,464,429	11/07/95	Hedberg et al.	607	4	
	82.	5,464,432	11/07/95	Infinger et al.	607	5	
	83.	5,466,221	11/14/95	Zadini et al.	604	96	

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	84.	5,470,348	11/28/95	Neubauer et al.	607	68	
	85.	5,476,498	12/19/95	Ayers	607	122	
	86.	5,476,499	12/19/95	Hirschberg	607	123	
	87.	5,484,391	01/16/96	Buckman, Jr. et al.	601	135	
	88.	5,486,199	01/23/96	Kim et al.	607	5	
	89.	5,487,753	30/01/96	MacCarter et al.	607	017	
	90.	5,489,293	02/06/96	Pless et al.	607	5	
	91.	5,496,345	03/05/96	Kieturakis et al.	606	192	
	92.	5,514,153	05/07/96	Bonutti	606	190	
	93.	5,522,853	04/06/96	Mark W. Kroll	607	5	
	94.	5,531,764	07/02/96	Adams et al.	607	5	
	95.	5,540,711	07/30/96	Kieturakis et al.	606	192	
	96.	5,554,176	09/10/96	Maddison et al.	607	9	
	97.	5,560,369	10/1/96	McClure et al.	128	704	
	98.	5,571,074	11/05/96	Buckman, Jr. et al.	601	6	
	99.	5,573,517	11/12/96	Bonutti et al.	604	264	
	100.	5,578,064	11/26/96	Prutchi	607	19	
	101.	5,582,580	12/10/96	Buckman, Jr. et al.	601	41	
	102.	5,584,865	12/17/96	Hirschberg et al.	607	5	
	103.	5,593,418	01/14/97	Mollenauer	606	192	
	104.	5,601,581	02/11/97	Fogarty et al.	606	159	
	105.	5,601,589	02/11/97	Fogarty et al.	606	192	
	106.	5,601,590	02/11/97	Bonutti et al.	606	192	
	107.	5,607,443	03/04/97	Kieturakis et al.	606	192	
	108.	5,609,621	03/11/97	M. Bonner	607	122	
	109.	5,618,287	04/08/97	Fogarty et al.	606	129	
	110.	5,620,471	04/1997	Duncan	607	14	
	111.	5,624,381	04/29/97	Kieturakis et al.	600	206	
	112.	5,630,789	05/20/97	Schock et al.	601	41	

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	113.	5,653,726	08/05/97	Kieturakis et al.	606	190	
	114.	5,667,479	09/16/97	Kieturakis et al.	600	207	
	115.	5,667,520	09/16/97	Bonutti	606	190	
	116.	5,683,364	11/4/97	Zadini et al.	604	98	
	117.	5,683,429	11/04/97	Mehra	602	14	
	118.	5,685,826	11/11/97	Bonutti	600	204	
	119.	5,690,668	11/25/97	Fogarty et al.	606	192	
	120.	5,694,951	12/09/97	Bonutti	128	898	
	121.	5,697,953	12/16/97	Kroll et al.	607	5	
	122.	5,702,416	12/30/97	Kieturakis et al.	606	193	
	123.	5,702,417	12/30/97	Hermann	606	194	
	124.	5,707,390	01/13/98	Bonutti	606	204	
	125.	5,716,325	02/10/98	Bonutti	600	204	
	126.	5,718,718	02/17/98	Kroll et al.	607	5	
	127.	5,730,748	03/24/98	Fogarty et al.	606	159	
	128.	5,730,756	03/24/98	Kieturakis et al.	606	190	
	129.	5,800,469	01/07/98	Nappholz	607	18	
	130.	5,800,470	09/01/98	Stein et al.	607	20	
	131.	5,861,012	01/19/99	Stroebe	607	28	
	132.	5,978,704	11/02/99	Ideker et al.	607	123	
	133.	5,978,705	11/02/99	KenKnight et al.	607	5	
	134.	5,987,354	11/16/99	Cooper et al.	607	5	
	135.	6,002,962	12/14/99	Huang et al.	607	5	
	136.	6,006,131	12/21/99	Cooper et al.	607	5	
	137.	6,059,750	05/09/00	Fogarty et al.	604	96	
	138.	6,148,230	11/14/00	KenKnight	600	516	
	139.	6,179,793	01/30/01	Rothman et al.	601	44	
	140.	6,200,280	03/13/01	Brenneman et al.	601	41	
	141.	6,275,730	08/14/01	KenKnight et al.	607	5	

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	142.	6,285,907 B1	09/04/01	Kramer et al.	607	9		
	143.	6,287,267	09/11/01	Brenneman et al.	601	41		
	144.	6,327,500	12/04/01	Cooper et al.	607	5		
	145.	6,371,119	04/16/02	Zadini et al.	128 845			
	146.	6,406,444	06/18/02	Brenneman et al.	601	41		
	147.	6,503,265	01/07/03	Fogarty et al.	606	192		
	148.	10/238,343	09/10/02	Ideker et al.				
FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclass	Translation Yes   No	
	149.	EP0472 411 A1	02/26/92	EPO			X	
	150.	EP0509773 A1	04/15/92	EPO				
	151.	EP0554 208 A2	08/4/93	EPO			X	
	152.	0 601 340 A1	06/15/94	EPO			X	
	153.	WO96/23546	08/1996	WIPO				
	154.	WO 97/01373	01/16/97	PCT				
	155.	0804938A2	11/05/97	EPO				
	156.	0095726B1	02/11/88	EPO			X	
	157.	W099/65561	23/12/99	PCT				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
	158.	Allessie et al., "Regional control of atrial fibrillation by rapid pacing in concious dogs," <u>Circulation</u> 1991;84:1689-1697.						
	159.	Capucci et al., "Capture window in human atrial fibrillation: evidence of an excitable gap," <u>JCardiovasc Electrophysiol</u> 1999;10:319-327.						
	160.	Cooper et al., "Internal Cardioversion of Atrial Fibrillation in Sheep," Atrial Fibrillation: Mechanisms and Therapeutic Strategies, (1994) pp. 325-332.						
	161.	Cooper et al., "Internal Cardioversion of Atrial Fibrillation in Sheep," <u>Circulation</u> , Vol. 87, No. 5, May 1993, pp. 1673-1685.						
	162.	Daoud et al. "Response of Type I atrial fibrillation to atrial pacing in humans," <u>Circulation</u> 1996;94:1036-1040.						

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	163.	Feeser et al., "Strength-Duration and Probability of Success Curves for Defibrillation with Biphasic Waveforms," <i>Circulation</i> , Vol. 82, No. 6, December 1990, pp. 2128-2141.	
	164.	García-Calvo et al., "The effects of selective stellate ganglion manipulation on ventricular refractoriness and excitability," <i>PACE</i> , 1992;15:1492-1503.	
	165.	Halperin et al., "A Preliminary Study of Cardiopulmonary Resuscitation by Circumferential Compression of the Chest with Use of a Pneumatic Vest," <i>The New England Journal of Medicine</i> , September 9, 1993, Vol. 329:762-768.	
	166.	Huang et al., "Evolution of the organization of epicardial activation patterns during ventricular fibrillation," <i>J Cardiovasc Electrophysiol</i> , 1998;9:1291-1304.	
	167.	KenKnight et al., "Regional capture of fibrillating ventricular myocardium: Evidence of an excitable gap," <i>Circ Res</i> 1995;77:849-855.	
	168.	Kirchhof et al., "Regional entrainment of atrial fibrillation studied by high-resolution mapping in open-chest dogs," <i>Circulation</i> 1993;88:736-749.	
	169.	Knisley et al., "Line stimulation parallel to myofibers enhances regional uniformity of transmembrane voltage changes in rabbit hearts," <i>Circ Res</i> 1997;81:229-241.	
	170.	Kroll, Mark W., "A Minimal Model of the Monophasic Defibrillation Pulse," <i>PACE</i> , Vol. 16, April 1993, Part I, pp. 769-777.	
	171.	Lammers, W. J.E.P. et al., <i>The use of fibrillation cycle length to determine spatial dispersion in electrophysiological properties and to characterize the underlying mechanism of fibrillation</i> , <i>New Trends In Arrhythmias</i> , Vol. II, N.1, January-March 1986, pages 109-112.	
	172.	Lewalter et al., "The Low Intensity Treadmill Exercise" Protocol for Appropriate Rate Adaptive Programming of Minute Ventilation Controlled Pacemakers," (July 1995) <i>PACE</i> , 18:1374-1387.	
	173.	Lok et al.; "Clinical Shock Tolerability and Effect of Different Right Atrial Electrode Locations on Efficacy of Low Energy Human Transvenous Atrial Defibrillation Using an Implantable Lead System", <i>JACC</i> 30:5 (1997) 1324-1330.	
	174.	Lüderitz et al., "Nonpharmacologic Strategies for Treating Atrial Fibrillation," <i>The American Journal of Cardiology</i> , Vol. 77, January 25, 1996, pp. 45A-52A.	
	175.	Neri et al.; "Internal Cardioversion of Chronic Atrial Fibrillation in Patients", <i>PACE</i> 20 (1997) 2237-2242.	
	176.	Ophhof et al., "Dispersion of refracteries in canine ventricular myocardium: Effects of sympathetic stimulation," <i>Circ Res</i> 1991;68:1204-1215.	
	177.	Prof. Dr. med. Eckhard Alt; "Letters to the Editor", (1998) <i>PACE</i> 21 633-634.	
	178.	Province et al., "Effect of pulse train amplitude and waveform on ability to entrain fibrillating rabbit ventricle with epicardial pacing," (1999) <i>PACE</i> , 22:A66 (Abstract).	
	179.	Qin, Hao et al., "Recurrence Patterns After Failed Defibrillation of Spontaneous Ventricular Fibrillation During Acute Ischemia," <i>Supplement to Journal of the American College of Cardiology</i> , pg 3, March 6, 2002, Volume 39, Number 5 Supplement A.	

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	180.	Qin, Hao et al., "Difibrillation Efficacy for Spontaneous and electrically-Induced Ventricular Fibrillation During Acute Ischemia," Supplement to Circulation Journal of the American Heart Association, #2125, 2000.	
	181.	Qin, Hao et al., "Impact of Myocardial Ischemia and Reperfusion on Ventricular Defibrillation Patterns, Energy Requirements, and Detection of Recovery," (Circulation 2002;105:2537) Published online before print May 6, 2002, 10.1161/01.CIR.0000016702.86180.F6	
	182.	Rogers et al., "A quantitative framework for analyzing epicardial activation patterns during ventricular fibrillation," Ann Biomed Eng 1997; 25:749-760.	
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EXAMINER \_\_\_\_\_

DATE CONSIDERED \_\_\_\_\_

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